

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jack Rogers
Appl. No.: 09/188, 118
Filed: November 9, 1998
For: **The Translation Enhancer Element of the Human Amyloid
Precursor Protein Gene**

Commissioner of Patents and Trademarks
Washington, D.C. 20231

DECLARATION (37 C.F.R. § 1.63) AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, and

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the application identified above.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119, of any United States provisional applications or foreign application(s) for patent or inventor's certificate or of any PCT International Application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT International Application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application on which priority is claimed:

<u>Application Serial No.</u>	<u>Country</u>	<u>Filing Date (Day/Month/Year)</u>	<u>Priority Claimed (Yes/No)</u>
60/065,175	United States	November 12, 1997	Yes

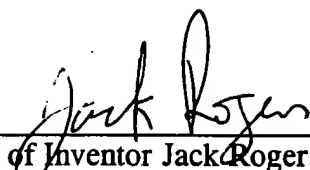
I hereby claim the benefit under Title 35, United States Code, Section 120, of any United States application(s) or PCT International Application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56, which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status (Patented, Pending, Abandoned)</u>
-----------------------------------	--------------------	--

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint Robert A. Armitage, Reg. No. 27,417; W. Scott Brown, Reg. No. 32,968; Barry Bumgardner, Reg. No. 38,397; Andrew G. DiNovo, Reg. No. 40,115; G. Harvey Dunn III, Reg. No. 31,102; Barry E. Engel, Reg. No. 37,127; A.H. Evans, Reg. No. 22,032; Adam Floyd, Reg. No. 39,192; William L. LaFuze, Reg. No. 27,205; J. Clark Martin, Reg. No. 26,198; Peter E. Mims, Reg. No. 32,429; Gregory L. Porter, Reg. No. 40,131; W. Ronald Robins, Reg. No. 26,222; Michael A. Sanzo, Reg. No. 36,912; Willem G. Schuurman, Reg. No. 29,998; and Karen Tucker White, Reg. No. 34,267, all registered to practice before the Patent and Trademark Office as my attorneys with full power of substitution and revocation to prosecute this application and all divisions and continuations thereof and to transact all business in the Patent and Trademark Office connected therewith and request that all correspondence and telephone communications be directed to the following person(s) at the mailing address and telephone number hereafter given:

Name : Michael A. Sanzo
Registration No. : 36,912
Address : Vinson & Elkins
2300 First City Tower
1001 Fannin
Houston, TX 77002-6760
Telephone No. : (202) 639-6585



Signature of Inventor Jack Rogers

Feb 3rd 1989

Date

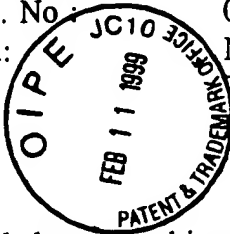
Residence: 39 Prince Street
Jamaica Plain, Massachusetts 02115

Citizenship: British

Post Office Address: 39 Prince Street
Jamaica Plain, Massachusetts 02115

Assignment to The Brigham and Women's Hospital, Inc.

Applicant(s): Jack Rogers
Appl. No.: 09/188,118
Filed: November 9, 1998
For: **The Translation Enhancer Element of the Human Amyloid
Precursor Protein Gene**

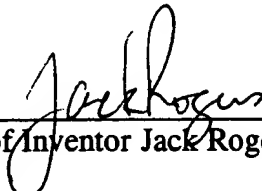


As a below-named inventor, I hereby declare that:

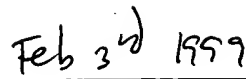
My post office address is as stated below under my signature and I am named as inventor of the inventions or discoveries (herein INVENTIONS) as described in the patent application (herein APPLICATION) identified above. In view of valuable consideration, receipt of which is hereby acknowledged, I do hereby assign and transfer unto The Brigham and Women's Hospital, Inc. (hereinafter "BWH"), a not-for-profit organization of the State of Massachusetts, its successors and assigns, my entire interest in and the full and exclusive right to the INVENTIONS, the APPLICATION and all related applications (including all divisions, reissues, continuations, and extensions thereof) and all counterparts in other countries, and any and all Letters Patent (and certificates of invention or similar certificates) (herein PATENTS) which may be granted based upon the INVENTIONS or the APPLICATION or related applications or counterparts in other countries; said transfer and assignment being applicable throughout the world. I hereby authorize and request officials of patent offices in any and all countries of the world to issue any and all of the PATENTS, when granted, to BWH, its successors and assigns, as the assignee of my entire right, title, and interest in and to the same. I agree that I will communicate to BWH, or its representatives, any facts known to me respecting the invention; testify in any legal proceedings; sign all lawful papers; execute all divisional, continuation, substitution, renewal, and reissue applications; execute all necessary assignment papers to cause any and all of the PATENTS to be issued to BWH; make all rightful oaths; and generally do everything possible to aid BWH, its

Rogers, Jack
Atty. Dkt. No. BRI331/64002

successors and assigns, to obtain and enforce proper protection for the INVENTION in any and all countries throughout the world.



Signature of Inventor Jack Rogers



Date

Address: 39 Prince Street
Jamaica Plain, Massachusetts 02115

FOR ESI 25207600



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MAY 18, 1999

PTAS

VINSON & ELKINS L.L.P.
MICHAEL A. SANZO
DOCKET CLERK (MASA)
2300 FIRST CITY TOWER, 1001 FANNIN
HOUSTON, TX 77002-6760

RECEIVED



100973773A

JUN 1 1999

Docket Sec.
Vinson & Elkins

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/11/1999

REEL/FRAME: 9779/0200
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
ROGERS, JACK

DOC DATE: 02/03/1999

ASSIGNEE:
BRIGHAM AND WOMEN'S HOSPITAL,
INC., THE
75 FRANCIS
BOSTON, MASSACHUSETTS 02115

SERIAL NUMBER: 09188118
PATENT NUMBER:

FILING DATE: 11/09/1998
ISSUE DATE:

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

NEW
2-11-99

02-26-1999



100973773

FORM PTO-1594 (Rev. 6-93)

U.S. DEPARTMENT OF COMMERCE

OBM No. 0651-0011 (exp. 4/94)

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Jack Rogers

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

2. Name and address of receiving party(ies):

Name: The Brigham and Women's Hospital, Inc.

Internal Address: _____

Street Address: 75 Francis Street

City/State/ZIP: Boston, Massachusetts 02115

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: February 3, 1999

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/188,118

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Docket Clerk (MASA)

Internal Address: _____

Street Address: Vinson & Elkins L.L.P.
2300 First City Tower
1001 Fannin

City/State/ZIP: Houston, TX 77002-6760

6. Total number of applications and patents involved:

1

7. Total Fee (37 C.F.R. § 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael A. Sanzo
Name of Person Signing

Michael A. Sanzo
Signature

February 11, 1999
Date

Total number of pages including cover sheet, attachments, and document:

3

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

FORM6-03.WP5

02/18/1999 MTEKLE 09188118

40.00 DP
01 FC:541